



Royal
Canadian
Mounted
Police

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BRIEFING NOTE TO THE COMMISSIONER

AUDIT OF PERSONNEL SECURITY

PURPOSE:

To seek your approval of the Audit of the Personnel Security.

BACKGROUND:

- The Audit of the Personnel Security was included in the 2014-2017 Risk-Based Audit Plan.
- The audit objective was to assess the efficiency and effectiveness of the processes for providing personnel security screening to ensure they are: consistent with the *Policy on Government Security and Security Screening Standard*; streamlined; and timely.
- The audit concluded that progress has been made on certain issues such as enhancing the rigour of the process, defining and communicating risk tolerances and implementing a number of process efficiencies.
- Improvement in these areas are necessary to further facilitate improvements to the effective and efficient delivery of the program.
- The audit includes recommendations that have all been accepted by the Deputy Commissioner, SPS.
- The report includes Management's Response to the audit.

CURRENT STATUS:

The report on the Audit of the Personnel Security was tabled at the Departmental Audit Committee (DAC) on June 14, 2016, and the committee members recommended it for your approval.

A detailed management action plan addressing the audit recommendations is currently being developed for tabling at the September 2016 DAC meeting.

STRATEGIC CONSIDERATIONS:

In addition, it recommends potential process efficiencies for management's consideration.

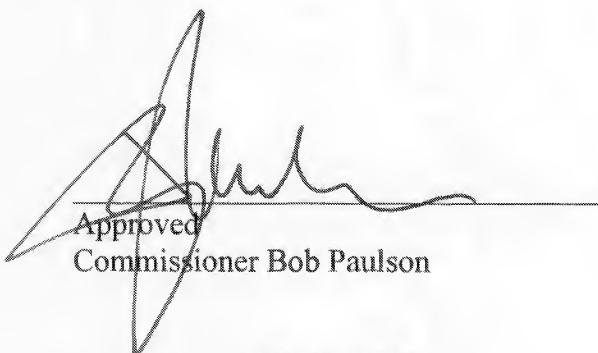
STRATEGIC COMMUNICATIONS:

Prior to posting, the communication strategy and the Briefing Note for the Minister of Public Safety will be finalized and provided to you for approval.

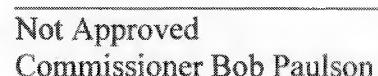
Once approved, the final vetted audit report will be posted on the external RCMP internet site in both official languages

RECOMMENDATION:

It is recommended that you approve the enclosed report of the Audit of the Personnel Security.

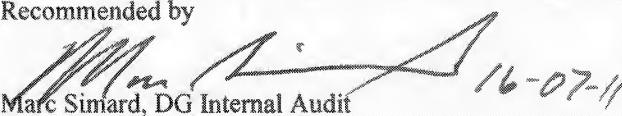

Approved
Commissioner Bob Paulson

Date


Not Approved
Commissioner Bob Paulson

Date

Prepared By: Brian Finn, Audit Manager
BN Identification: IAER_2016_12
CCM #: 16-002543

Submitted by	Date	Recommended by
Brian Finn, Audit Manager		 Marc Simard, DG Internal Audit 16-07-11
Approved by Joanne Pratt, Chief Audit & Evaluation Executive	16-07-11	